WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7:

G01N 33/52, 31/22

(11) International Publication Number:

WO 00/11471

(43) International Publication Date:

2 March 2000 (02.03.00)

(21) International Application Number:

PCT/IB99/01446

A1

(22) International Filing Date:

19 August 1999 (19.08.99)

(30) Priority Data:

09/137.728

21 August 1998 (21.08.98)

US

(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application

US

09/137,728 (CON)

Filed on

21 August 1998 (21,08,98)

(71) Applicant (for all designated States except US): BAYER CORPORATION [US/US]; 333 Coney Street, East Walpole, MA 02032 (US).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): SULLIVAN, Kevin, J. [US/US]; 63 Indian Hill Read, Medfield, MA 02052 (US). COLLINS, Thomas, C. [US/US]; 2109 Fifth Street, Bay City, MI 48708 (US). SLOVACEK, Rudolf, E. [US/US]; 60 King Street, Norfolk, MA 02056 (US).
- (74) Agents: ROESLER, Judith, A.; Bayer Corporation, 63 North Street, Mcdfield, MA 02052 (US) et al.

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

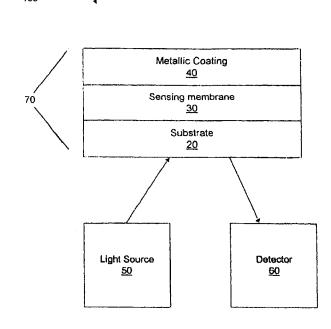
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: METALLIC OVERCOATING AS A LIGHT ATTENUATING LAYER FOR OPTICAL SENSORS

(57) Abstract

A liquid permeable metallic coating (40) is utilized in conjunction with a fluorescence based optical sensor (70). The metallic coating is deposited directly on, and is in physical contact with, the sensing membrane (30). The metallic coating does not require an intervening substrate layer or other components. When light from a light source (50) is shone through the substantially light transmissive substrate onto the sensing membrane (30), the metallic overcoating (40) reflects back the excitation light as well as the fluorescence light generated by the sensor such that substantially no light reaches the sample where the light may be scattered and/or absorbed by the sample. Accordingly, the accuracy and repeatability of the sensor (70) is improved while the cost and production times associated with manufacturing the sensor are minimized.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Clausais
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovenia
AT	Austria	FR	France	LU	Luxembourg	SN	Slovakia
ΑU	Australia	GA	Gabon	LV	Latvia		Senegal
ΑZ	Azerbaijan	GB	United Kingdom	MC	Мопасо	SZ TD	Swaziland
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Chad
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Togo
BE	Belgium	GN	Guinea	MK	The former Yugoslav	-	Tajikistan
BF	Burkina Faso	GR	Greece	MIK	Republic of Macedonia	TM	Turkmenistan
BG	Bulgaria	HU	Hungary	ML	Mali	TR	Turkey
BJ	Benin	IE	Ireland	MN		TT	Trinidad and Tobago
BR	Brazil	iL	Israel	MR	Mongolia	UA	Ukraine
BY	Belarus	IS	Iceland		Mauritania	UG	Uganda
CA	Canada	IT	-	MW	Malawi	US	United States of America
CF	Central African Republic		Italy	MX	Mexico	UZ	Uzbekistan
CG		JP	Japan	NE	Niger	VN	Viet Nam
	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PΤ	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

TITLE OF INVENTION

5 Metallic Overcoating as a Light Attenuating Layer for Optical Sensors

CROSS-REFERENCE TO RELATED APPLICATIONS Not Applicable

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

10

15

20

25

30

Not Applicable

BACKGROUND OF THE INVENTION

Fluorescent based optical sensors wherein a sensing membrane is layered onto a light transmissive substrate are known. The sensing membrane of the sensor is brought into contact with a sample while an excitation light reaches the sensing membrane through the substrate. The combination of the excitation light, the sensing membrane and a particular analyte will cause the sensing membrane to emit a fluorescing light. The emission signal from the sensing membrane is then detected through the light transmissive substrate from the back side of the sensor. Due to the fact that the sensing membranes of the sensor are quite thin there is a fairly large amount of the excitation light which passes through the sensing membrane and into the sample or into the sample chamber. The light which passes through the sensing membrane may be scattered, absorbed or reflected by the sample or the chamber walls back into and through the sensing membrane. Additionally, the fluorescing signal emitted from the sensing layer, which is indicative of the detection of the amount of the analyte of interest of the sample under test, may also be absorbed, scattered or reflected by the sample back to the detector. The scattering, absorbing or reflecting of the excitation light and the fluorescing light emitted by the sensing membrane can combine to provide a four fold change in the signal between a perfectly reflecting and perfectly absorbing signal, thus severely skewing the detection results of the sensor.

5

10

15

20

25

Previous attempts to address this issue of unintended light affecting the results of the sensor include coating the sensing membrane with a support layer material which has been impregnated with a second material, or coating the sensing membrane with a plurality of layers such that the amount of light escaping the sensor into the sample and sample chamber is a very small fraction of the total light directed to the sensor. These attempts utilized a complex chemical process to produce an opaque, chemically permeable multilayered structure which is then laminated onto the sensing membrane. For example, U.S. Patent No. 5,091,800 discloses the construction of an ion permeable cover membrane formed from a cross linked PVOH or cellophane substrate which is stretched onto a form and impregnated with silver, gold or platinum colloidal precipitants through a series of chemical treatments to form the opaque membrane. U.S. Patents 5,081,041 and 5,081,042 disclose the use of an ion permeable cover membrane fabricated from a Dextran or cellulose substrate and impregnated with detergent solvated carbon black. U.S. Patents No. 4,919,891 and 5,075,127 utilize cellulose acetate/acetone mixtures of either copper pthalocyanine or carbon black cast as separate coating membranes. U.S. Patent No. 3,992,158 discloses the incorporation of a separate TiO2-containing cellulose acetate for opacity or reflectance to be used in absorbance based chemistries on dry slides. Similarly, U.S. Patents No. 4,042,335, 4,781,890, 4,895,704 and EP 0 142 849 B1 disclose the use of light blocking layers incorporating TiO, particles for slide based chemistry tests. Such techniques have proven to be complex, labor intensive and expensive, requiring the utilization of multiple components or multiple layers of materials. It would be desirable to provide an inexpensive and simple to produce sensor including a single light attenuating layer of material deposited directly on the sensing membrane which reflects excitation and emission light back into the sensor without the light being affected by the sample while permitting the analyte of interest to freely diffuse through the light attenuating layer and into the sensing membrane.

BRIEF SUMMARY OF THE INVENTION

A liquid permeable metallic coating is utilized in conjunction with a fluorescence based optical sensor. The metallic coating is deposited directly on, and is in physical contact with, the sensing membrane. The metallic coating does not require an intervening support layer of material, or other components. When light from a light source is shone through the substantially light transmissive substrate onto the sensing membrane, the metallic overcoating reflects back the excitation light as well as the fluorescence light generated by the sensor such that substantially no light reaches the sample where the light may be scattered and/or absorbed by the sample. Reflectance from within the sample cavity is also avoided. Accordingly, the accuracy and repeatability of the sensor is improved while the cost and production times associated with manufacturing the sensor are minimized.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

The invention will be more fully understood from the following detailed description taken in conjunction with the accompanying drawings in which:

Fig. 1 is a block diagram of a prior art sensor;

5

10

15

20

25

30

Fig. 2 is a block diagram of a sensor including the metallic coating of the present invention;

Fig. 3A is a graph of test results for the prior art sensor of Fig. 1; and Fig. 3B is a graph of test results for the sensor including the metallic coating of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

A prior art sensing system 10 is shown in Fig. 1 and includes a sensor 15, a light source 50, and a detector 60. Sensor 15 comprises a light transmissive substrate 20 having a sensing membrane 30 layered thereon. In operation, sensing membrane 30 is brought into contact with a sample (not shown) being tested. The light source 50 provides an excitation light to substrate 20, such as through an optical fiber. Substrate 20 is generally light transmissive, thus the light from light source 50 passes through substrate 20 and falls on sensing membrane 30. Sensing membrane 30, in the presence of the excitation light and in the presence of a particular analyte in the

sample will emit a fluorescing light to a degree defined by the concentration of the analyte in the sample. This fluorescing light provided by sensing membrane 30 will pass through substrate 20 and be detected by the detector 60.

5

10

15

20

25

30

Since sensing membrane 30 is relatively thin, excitation light also passes through the membrane 30 and into the sample. Once the excitation light is received by the sample it may be scattered, absorbed and/or reflected back through the sensing membrane 30, and through substrate 20 to be detected by detector 60. Additionally, the fluorescing light produced by the sensing membrane may also pass through sensing membrane 30 and into the sample where it may be scattered, absorbed and/or reflected. Again, this light may pass through sensing membrane 30 and through substrate 20 where it will be detected by detector 60. Accordingly, the measurement results of the sensor can be skewed greatly.

Referring to Fig. 2 a sensing system 100 is shown. The system 100 includes a sensor 70, a light source 50 and a detector 60. The light source 50 and detector 60 are in communication with the sensor 70 through any suitable means, including a fiber optic channel. Sensor 70 includes a substrate 20, a sensing membrane 30 layered on the substrate 20 and a metallic coating 40 layered on the sensing membrane 30. Substrate 20 may be made of any substantially light transmissive material such as cellulose acetate, cellulose acetate butyrate, polyethylene terephthalate, bisphenol A polycarbonate, polystyrene, polymethyl methacrylate or preferably glass.

The sensing membrane 30 is deposited onto a surface of the substrate 20. The sensing membrane 30 may comprise any material or group of materials formed together which provide a detectable indication in response to exposure to a specific analyte of the sample. In a preferred embodiment the sensing membrane 30 is made of copolymer JB3001/23 which comprises a mixture of ethylhexylmethacrylate, methylmethacrylate and an oxygen sensing dye such as octa-ethyl-Pt-porphyrin (OEP).

The sensor 70 further includes a liquid permeable metallic overcoating 40 which is generally nontransmissive to light. The metallic overcoating 40 may comprise Aluminum, TiO₂ or preferably a Gold Palladium mixture. The metallic overcoating 40 may be deposited onto the sensing membrane 30 by sputter coating.

evaporating or other means, thus no intervening support layer or substrate is required between the metallic coating 40 and the sensing membrane 30.

In operation, metallic coating 40 is brought into contact with a sample (not shown) being tested. Metallic coating 40 is liquid permeable such that the sample can diffuse through metallic coating 40 and contact sensing membrane 30. The light source 50 provides an excitation light to substrate 20. Substrate 20 is generally light transmissive, thus the light from light source 50 passes through substrate 20 and falls on sensing membrane 30. Sensing membrane 30 in the presence of the excitation light and in the presence of a particular analyte of the sample will emit a fluorescing light. This fluorescing light provided by sensing membrane 30 will pass through substrate 20 and be detected by a detector 60.

5

10

15

20

25

30

Since sensing membrane 30 is relatively thin, light also passes through the membrane 30 and onto metallic coating 40. Metallic coating 40 is generally nontransmissive to light and reflects the light back through the sensing membrane 30 without allowing a significant amount of the light to reach the sample where the light can be affected by the sample and be subsequently detected by detector 60. Additionally, the fluorescing light produced by the sensing membrane may also pass through sensing membrane 30 where it will also encounter metallic coating 40. Once again, metallic coating 40 will reflect the light back to the sensor without a significant amount of the light passing through to the sample where the light may be affected by the sample and subsequently detected by the detector 60. Accordingly, the excitation light and fluorescing light are not affected by the sample, thus the sensor provides a much more accurate and repeatable sensing of analytes.

Referring now to Fig. 3A a Stern/Volmer plot of a fluorescence intensity in response to varying levels of oxygen is shown as detected by a prior art sensor. A clear, aqueous buffer solution was plotted (denoted by the squares) as was a sample having twenty three grams per deciliter of total hemoglobin (THb) (denoted by triangles) and a sample having nine grams per deciliter of THb (denoted by circles). As seen from the plot, the samples having different THb levels produced a large difference in fluorescence, which can be attributed to the presence and detection of interfering light, such as excitation light which has been scattered, absorbed and

5

10

15

20

25

30

reflected by the sample as well as fluorescing light which has been reflected, absorbed or scattered by the sample and has been detected by the detector.

Referring now to Fig. 3B it can be seen that the same tests performed using similar solutions with the sensor of the present invention provide a much more uniform response. The sensor here has a liquid permeable metallic coating which has an optical density of approximately 0.893. A Stern/Volmer plot of a fluorescence intensity in response to varying levels of oxygen is shown as detected by the sensor of the present invention. A clear, aqueous buffer solution was plotted (denoted by the squares) as was a sample having twenty one grams per deciliter of THb (denoted by triangles) and a sample having six grams per deciliter of THb (denoted by circles). As seen from the plot, the samples having different THb levels produced a generally uniform fluorescence, which can be attributed to the absence of interfering light, such as excitation light which has been scattered, absorbed and reflected by the sample as well as fluorescing light which has been reflected, absorbed or scattered by the sample. Due to the inclusion of the metallic coating directly on the sensing membrane, very little light passes through the metallic coating and to the sample where in can be reflected, absorbed or scattered and provide interfering light which skews the results.

The incorporation of a metallic coating which is liquid permeable as well as being generally nontransmissive to light provides a substantial improvement in the repeatability of sample testing and for testing a variety of different samples. The metallic coating is applied directly onto the sensing membrane without the use of an intervening support layer or without the use of multiple layers of materials thus providing a cost effective manner of including the metallic overcoating since additional materials and labor are minimized, while performance and reliability are greatly improved.

Having described preferred embodiments of the invention it will now become apparent to those of ordinary skill in the art that other embodiments incorporating these concepts may be used. Accordingly, it is submitted that the invention should not be limited to the described embodiments but rather should be limited only by the spirit and scope of the appended claims.

CLAIMS

We claim:

5 1. A sensor comprising:

a substrate substantially transmissive to light, having a first side and a second side;

a sensing membrane layered onto the second side of said substrate; and a liquid permeable, substantially nontransmissive to light metallic coating directly layered on said sensing membrane.

- 2. The sensor of claim 1 wherein said metallic coating is sputter coated onto said sensing membrane.
- 15 3. The sensor of claim 1 wherein said metallic coating is evaporated onto said sensing membrane.
 - 4. The sensor of claim 1 wherein said metallic coating has an optical density of between approximately 0.2 and approximately 0.893.

20

- 5. The sensor of claim 1 wherein said metallic coating comprises gold palladium.
- 6. The sensor of claim 1 wherein said metallic coating comprises aluminum.
- 25 7. The sensor of claim 1 wherein said metallic coating comprises TiO₂.
 - 8. The sensor of claim 1 wherein said sensing membrane comprises a mixture of ethylhexylmethacrylate, methylmethacrylate and an oxygen sensing dye.
- 30 9. The sensor of claim 8 wherein said oxygen sensing dye comprises octa-ethyl-Pt-porphyrin (OEP).

10. The sensor of claim 1 wherein said substrate comprises glass.

11. The sensor of claim 1 wherein said substrate is selected from the group consisting of cellulose acetate, cellulose acetate butyrate, polyethylene terephthalate,
5 bisphenol A polycarbonate, polystyrene, and polymethyl methacrylate.

12. The sensor of claim 1 further comprising:

a light source in communication with said substrate, said sensing membrane and said metallic coating; and

a detector in communication with said substrate, said sensing membrane and said metallic coating.

13. A sensor comprising:

20

30

a substrate substantially transmissive to light, having a first side and a second

15 side; a sensing membrane layered onto said second side of said substrate;

a liquid permeable substantially nontransmissive to light metallic coating layered directly onto said sensing membrane, said metallic coating having an optical density of approximately 0.893;

a light source in communication with said substrate, said sensing membrane, and said metallic coating; and

a detector in communication with said substrate, said sensing membrane and said metallic coating.

14. A method of making a sensor comprising:

providing a substrate substantially transmissive to light, having a first side and a second side;

depositing a sensing membrane onto the second side of said substrate; and depositing a metallic coating directly on said sensing membrane, the metallic coating being liquid permeable and substantially nontransmissive to light.

15. The method of claim 14 wherein said step of depositing a metallic coating comprises sputtering the metallic coating onto said sensing membrane.

16. The method of claim 14 wherein said step of depositing a metallic coating comprises evaporating the metallic coating onto said sensing membrane.

- 5 17. The method of claim 14 wherein said step of depositing a metallic coating comprises depositing a metallic coating having an optical density of between approximately 0.2 and approximately 0.893.
- 18. The method of claim 14 wherein said step of depositing a metallic coating comprises depositing a coating selected from the group consisting of gold palladium, aluminum and TiO₂.
 - 19. The method of claim 14 wherein said step of depositing a sensing membrane comprises depositing a mixture of ethylhexylmethacrylate, methylmethacrylate and an oxygen sensing dye.
 - 20. The method of claim 14 wherein said step of depositing a sensing membrane comprises depositing a mixture of ethylhexylmethacrylate, methylmethacrylate and octa-ethyl-Pt-porphyrin (OEP).
 - 21. The method of claim 14 wherein said step of providing a substrate comprises providing a substrate selected from the group consisting of glass, cellulose acetate, cellulose acetate butyrate, polyethylene terephthalate, bisphenol A polycarbonate, polystyrene, and polymethyl methacrylate.

15

20

WO 00/11471

PCT/IB99/01446

1/4



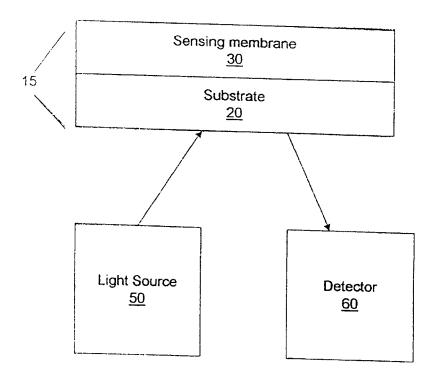


FIG.1

2/4



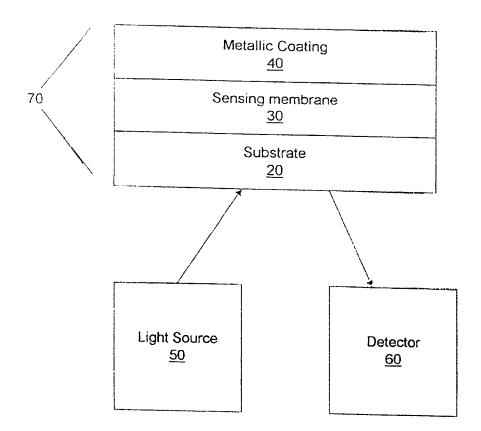


FIG.2

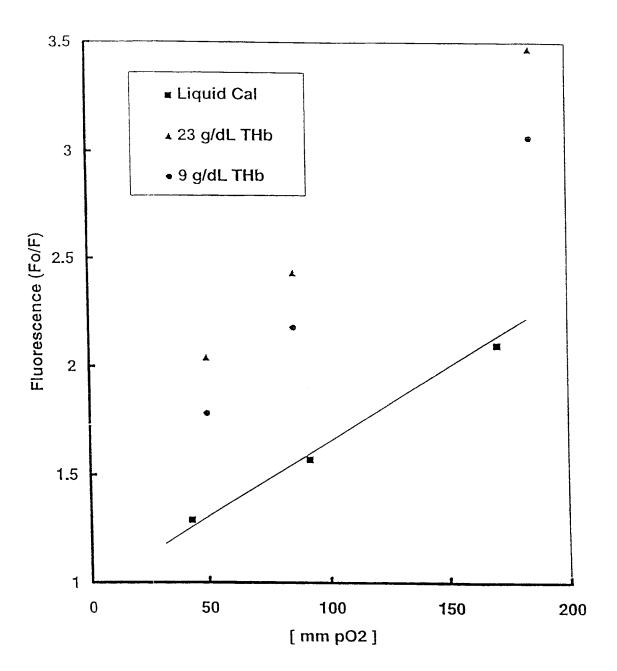


FIG. 3A

WO 00/11471

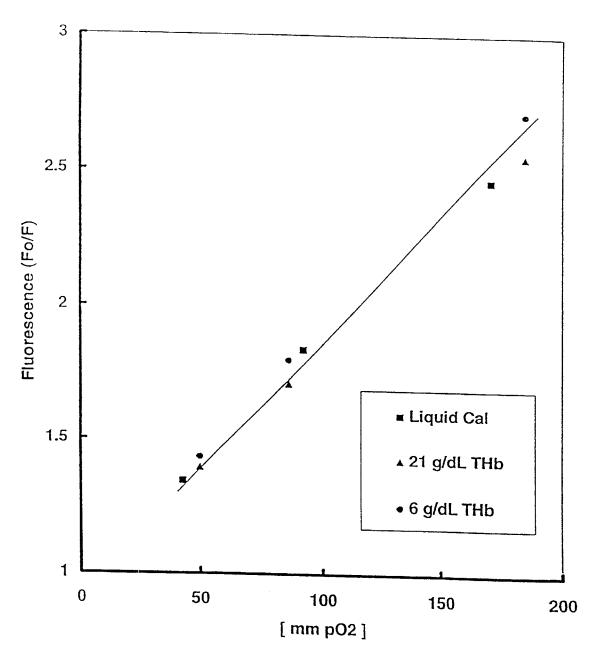


FIG. 3B

INTERNATIONAL SEARCH REPORT

Interr nai Application No

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G01N33/52 G01N31/22

According to international Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category Citation of document, with indication, where appropriate, of the relevant passages

Relevant to claim No.

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 584 721 A (BOEHRINGER MANNHEIM GMBH) 2 March 1994 (1994-03-02)	1-7, 10-18,21
Υ	column 3, line 29 -column 4, line 58	8,9,19, 20
	column 8, line 21 - line 23 column 9, line 48 - line 52; figure 1	
X	US 4 255 384 A (KITAJIMA MASAO ET AL) 10 March 1981 (1981-03-10)	1-7, 10-18,21
Y	column 2, line 49 -column 3, line 20	8,9,19, 20
	column 4, line 57 -column 5, line 8 column 6, line 59 - line 68	
	,	
1		

X Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.
Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance E* earlier document but published on or after the international filing date	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search 10 December 1999	Date of mailing of the international search report $20/12/1999$
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nt, Fax: (+31-70) 340-3316	Authorized officer Scheu, M

2

INTERNATIONAL SEARCH REPORT

Inter Inal Application No PCT/IB 99/01446

		PCT/1B 99/01446		
	Ation) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication where appropriate, of the relevant passages	Relevant to claim No.		
X Y	US 5 609 823 A (HARTTIG HERBERT ET AL) 11 March 1997 (1997-03-11) column 2, line 4 - line 10	1-7, 10-18,21 8,9,19, 20		
A	column 3, line 30 - line 43 US 4 248 829 A (KITAJIMA MASAO ET AL)	1-7,		
	3 February 1981 (1981-02-03) column 5, line 3 - line 25	10-18,21		
Y	WO 97 37210 A (CHIRON DIAGNOSTICS CORP; SLOVACEK RUDOLF E (US); SULLIVAN KEVIN J) 9 October 1997 (1997-10-09) abstract; examples 4-7	8,9,19,		

INTERNATIONAL SEARCH REPORT

information on patent family members

Intern val Application No PCT/IB 99/01446

	itent document I in search report		Publication date		Patent family member(s)	Publication date
EP	0584721	A	02-03-1994	DE AT DE ES JP JP	4227665 A 160221 T 59307664 D 2109404 T 2520220 B 6235695 A	24-02-1994 15-11-1997 18-12-1997 16-01-1998 31-07-1996 23-08-1994
US	4255384	Α	10-03-1981	JP JP JP JP JP DE	1355882 C 55026428 A 61022905 B 1355883 C 55026429 A 61022906 B 2932973 A	24-12-1986 25-02-1980 03-06-1986 24-12-1986 25-02-1980 03-06-1986 28-02-1980
US	5609823	A	11-03-1997	DE EP JP JP US	4326339 A 0637749 A 2610109 B 7077528 A 5679311 A	09-02-1995 08-02-1995 14-05-1997 20-03-1995 21-10-1997
US	4248829	Α	03-02-1981	DE	2949747 A	19-06-1980
WC	9737210	Α	09-10-1997	US AU EP	5863460 A 2039597 A 0891540 A	26-01-1999 22-10-1997 20-01-1999